

# Structural changes in Cz-Si single crystals irradiated with high-energy electrons from data of high-resolution X-ray diffractometry

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## Abstracts

Structural changes in silicon single-crystals irradiated with high-energy electrons ( $E = 18$  MeV) were studied. The peculiarities of diffraction reflection curve behaviour and changes in the profiles of isodiffusion lines in high-resolution reciprocal space maps (HR-RSMs) were found as a function of the radiation dose. The generalized dynamic theory of X-ray Bragg-diffraction in crystals comprising defects of several types (spherical and disc-shaped clusters as well as dislocation loops) and a damaged near-surface layer was used for explanation.

**Keywords:** irradiation, high-energy electrons, X-ray diffraction, Cz-Si, oxygen-containing defects.

Manuscript received ; accepted for publication ; published online .

## 1. Introduction

Among the methods of crystal research the methods based on the analysis of the angular distributing of x-ray intensity are the most informative [1-14]. The determination of concentrations and sizes of microdefects, their type (interstitial or vacancy) and symmetry of static distortion fields formed by them, is possible from the analysis of the angular intensity distributions [3-12].

In the method of X-ray two-crystal diffractometry (TKD) the information about concentration-size descriptions of defects is represented by the tails of diffraction reflection curves, which are mainly formed by diffuse x-ray scattering on microdefects [1-3, 8, 12]. However, only the three-crystal x-ray diffractometry allows to separate diffuse intensity component of x-ray scattering from coherent one [3-8, 14].

The irradiation of silicon by high-energy particles considerably influences on the decomposition processes of the solid solution of oxygen in Si and, consequently, changes the character of x-ray scattering [9-11]. The presence of great number of different types of defects in the irradiated samples significantly complicates the construction of model of imperfect structure, allowing correctly describe the obtained experimental results [15-23].

Therefore, there is necessary to choose the basic types of defects, which have dominant contribution to the diffraction pattern. The similar attempt of construction of such model was undertaken in papers [9-11], where the models of the imperfect system, supposing a presence of dislocation loops, ellipsoid clusters ( $\text{SiO}_2$  precipitates), point defects and thermal diffuse scattering in the crystal are used.

The generalized dynamic theory of x-ray Bragg-diffraction in a crystal, containing the defects of a few types (spherical and disc-like clusters, dislocation loops) was used in this work to research the dynamics of changes of concentration and sizes of dominant defects in crystals before and after irradiation by high-energy electrons as well as their influence on coherent and diffuse components of diffraction reflection curve.

**2. The subjects of investigations** were Czochralski-grown dislocation-free Si samples (Table 1) irradiated by different doses of high-energy electrons ( $E=18$  MeV). The oxygen concentration in these samples was  $n \sim 10^{18} \text{ cm}^{-3}$ , input surface orientation (111), p-type of conductivity, boron-doped, resistivity 7.5 Ohm-cm. To eliminate the damaged layer, crystals prior to and after irradiation were subjected to a complete cycle of chemical and mechanical treatment.

## 3. Experimental investigations

X-ray diffraction curves (Fig.1) were measured in the  $\omega$ - $2\theta$ -scanning mode with a narrow detector window in common Bragg geometry. The HR-RSMs (Figs 2 and 3) were measured using a triple-axis X-ray diffractometer „PANalytical X'pert Pro MRD XL” in a coplanar diffraction geometry for (333) planes with a rectangular cross-section using 4-fold Ge (220)

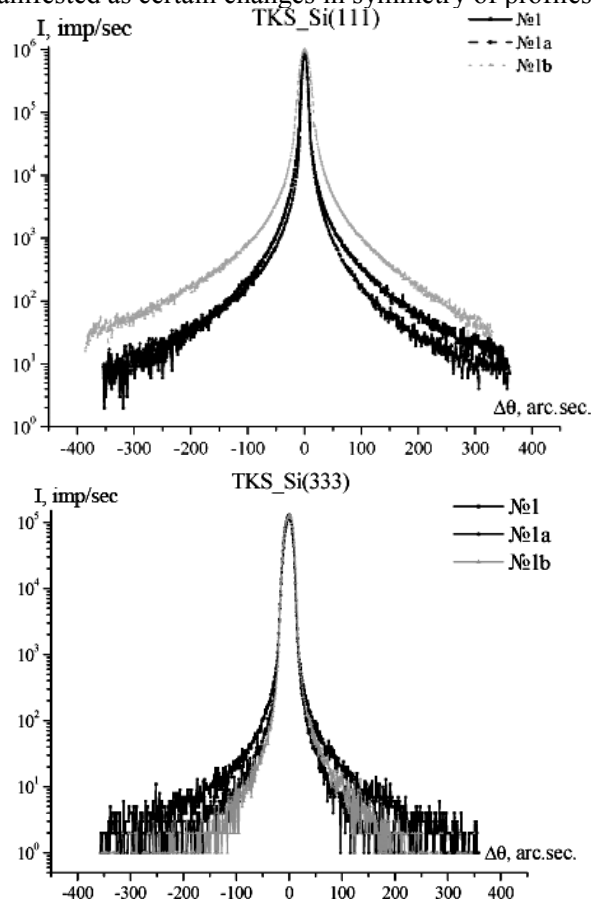
monochromator and 3-fold Ge (220) analyzer with the angular discrepancy 12".

**Table 1.** Samples under investigation

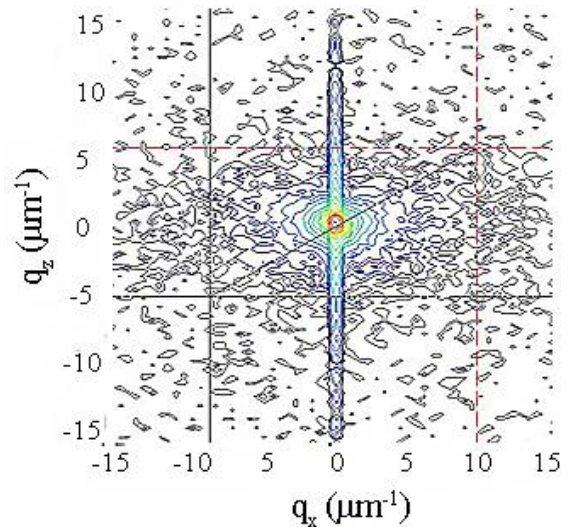
Sample	Radiation dose	Thickness, mm
№1	Reference	4.271
№1a	1.8 kGray	4.263
№1b	3.6 kGray	4.261

Our analysis of experimental data in Fig.1 shows the following. The crystal №1a (Fig. 1) is characterized by reduction of full-width at half maximum (FWHM) of diffraction curves as compared to initial sample for both (111) and (333) reflections. Whereas in the case of the crystal №1b for (333) reflection the situation is opposite. It is caused by different contributions of the damaged near-surface layer to the general scattering intensity for these reflections. Similar peculiarities are observed for rocking curves obtained in the  $\omega$ -scanning mode [3-6].

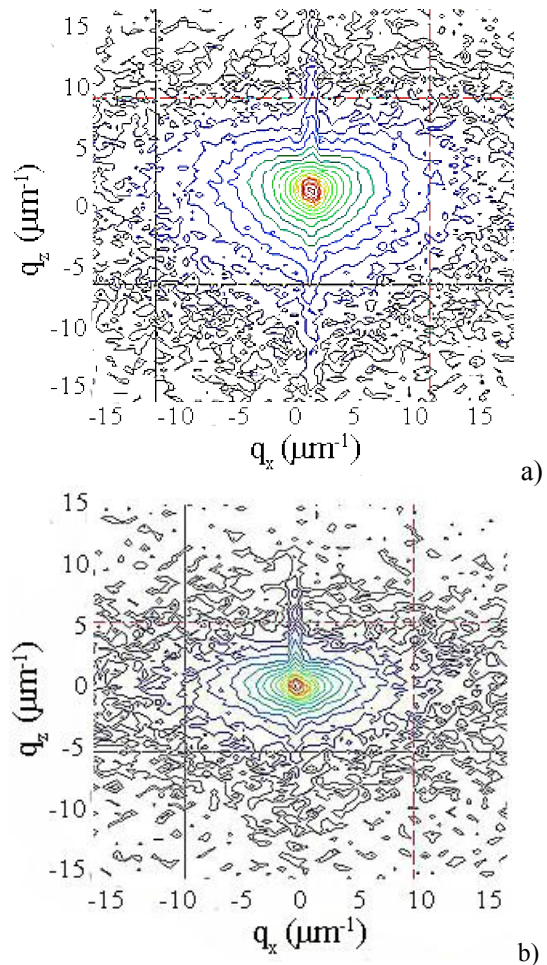
In the experimental maps (Figs 2 and 3), the distribution of the diffuse scattering intensity is manifested as certain changes in symmetry of profiles.



**Fig. 1.** Experimental diffraction reflection curves,  $\omega$ -2 $\theta$ -scanning (111) and (333) reflections of  $\text{CuK}\alpha_1$ -radiation. Monochromator - (220)



**Fig. 2.** Crystal №1 (reference). Distribution of diffuse scattering intensity around the  $\text{CuK}\alpha(333)$  reciprocal lattice site.



**Fig. 3.** Crystal: a) №1a (1.8 kGray); b) №1b (3.6 kGray). Distribution of the diffuse scattering intensity around the  $\text{CuK}\alpha(333)$  reciprocal lattice site.

CuK<sub>α</sub>(333) reciprocal lattice site.

It is known that formation of isodiffuse profiles is largely dependent on the type of defects, their location in the lattice and symmetry of their displacement fields [3-8]. General appearance of these profiles allows establishing symmetry of the displacement field and choosing between several possible configurations of a defect structure.

#### 4. Theory

Monocrystalline Cz-Si is characterized by the presence of relatively high concentrations of clusters and dislocation loops. Certain processes, such as temperature annealing, can cause intensive nucleation of new and decay of genetic cluster formations with SiO<sub>2</sub> precipitate structure [12-16]. Based on the evidence from [16-17], the energy of formation of silicon-oxygen precipitates of spherical or elliptical shape is higher than the formation energy of these precipitates in a lamellar or disc shapes, that is, their formation is most a probable [18-22].

However, under certain conditions, for example, high-energy irradiation, a different scenarios of defect system reconstruction can occur in bulk silicon crystal. Stimulated diffusion of oxygen from matrix to crystal surface can cause a volume change in the area of precipitate formation. It can account for a nucleation of the dislocation Frank loop [12-16], as well as origination of silicon-oxygen clusters in the (111) planes [16]. Oxygen atoms in these clusters are introduced into interstitial positions between pairs of silicon atoms located along the [111] directions. For a further growth of oxygen-containing clusters, injection of interstitial atoms to surrounding lattice is needed. When concentrations of interstitial atoms in these areas reach a certain critical value, their condensation with formation of the cluster surrounded by a dislocation loop may take place. Such cluster formations have smaller effective sizes than the dislocation loops.

To explain possible structural changes in crystals irradiated with high-energy electrons, we used the following model of interrelated and interacting dominant microdefects typical for Si-Cz crystals [20-22]: disc-like clusters, fine spherical clusters – SiO<sub>2</sub> precipitates, dislocation loops, point defects – silicon vacancies and elastic bend of crystal reflecting (111)-planes.

To determine individual and combined effect of each of the above defect types on the formation of diffraction curves (Fig.1), we used relationships of the generalized theory of X-ray scattering in single crystals with randomly distributed defects [3-6]. According to this theory, the crystal diffraction curve is a sum of

coherent ( $R_{coh}$ ) and diffuse ( $R_{diff}$ ) components [3, 4]:

$$R(\Delta\theta) = R_{coh}(\Delta\theta) + R_{diff}(\Delta\theta). \quad (1)$$

Coherent component is assigned by the relationship [3]:

$$R_{coh}(\Delta\theta) = |\xi|(L - \sqrt{L^2 - 1}), \quad (2)$$

where  $L$  is the parameter taking into account absorption of strong Bragg waves due to processes of nonelastic scattering on defects and additional absorption of these waves due to diffuse scattering on defects,  $\xi$  is the coefficient taking into account dispersion corrections for Fourier-components of polarization  $\chi_H$ .

The diffuse component of reflectivity  $R$  in the presence of several types of randomly distributed defects in crystal and in the absence of correlation between them is of the form [3, 6]:

$$R_{diff}(\Delta\theta) = F_{dyn}(\Delta\theta)\mu_{00}(k_0)t/\gamma_0, \quad (3)$$

$$\mu_{00}(\Delta\theta) = \mu_{DS}(k_0)p(\mu \cdot t),$$

$$p(\mu \cdot t) = (1 - e^{-2\mu \cdot t})/2\mu \cdot t, \quad (4)$$

where  $t$  is the crystal thickness,  $\gamma_0$  is the direction cosine of the wave vector of crystal incident wave.

The statistic Debye-Waller factor  $L_H$  and absorption coefficient due to diffuse scattering on defects  $\mu_{DS}$  in  $j$ -layer are a respective superposition on all defect types and described by the expressions:

$$L_H = \sum_{\alpha} L_H^{\alpha}, \quad \mu_{DS} = \sum_{\alpha} \mu_{DS}^{\alpha}. \quad (5)$$

Here,  $\alpha$  is used to number defect type. The factor  $L_{H\Box}$  in (5) is related to characteristics of dominant defects by the relationships [5]:

$$L_H^D \approx 0.5c\nu_0^{-1}R_0^3(H \cdot b)^{3/2} - \text{dislocation loops};$$

$$L_H^K \approx 0.5cn_0\eta^2(1 - \eta^2)/100 : (\eta^2 \ll 10) - \text{spherical}$$

$$L_H \approx cn_0\eta^{3/2} : (\eta^2 \gg 10) - \text{disc-shaped clusters}$$

where  $c$  is the concentration for the respective type of defects,  $\nu_0$  is the number of atoms in a matrix cubic cell,  $R_0$  is the real defect radius,  $H$  is the vector of reciprocal lattice,  $b$  is the Burgers vector of a dislocation loop,  $n_0$  is the number of cluster-substituted

matrix unit cells,  $\eta = \alpha_0 n_0^{1/3} H a_0 / 2 \cdot \pi$ ,  
 $\alpha_0 = \Gamma \varepsilon R_0^2 h_p / 2$ ,  $h_p$  is the cluster thickness,  $a_0$  is the  
lattice constant,  $\varepsilon$  is a deformation at cluster boundary,  
 $\Gamma = (1 + \nu)(1 - \nu)^{-1} / 3$ ,  $\nu$  is the Poisson coefficient.

The coefficient of absorption  $\mu_{DS}$  due to diffuse  
scattering is described by the relationship [4]:

$$\mu_{DS}^\alpha(k_0) = c_\alpha C^2 (E_j)^2 m_0 J^\alpha(k_0),$$

$$m_0 = \frac{\pi v_0}{4} (H | \chi_{rH} | / \lambda)^2, \quad E = \exp(-LH), \quad (6)$$

where  $c_\alpha$  is the value of defect concentration in the  
layer under study,  $C$  is the polarization factor,  $v_0$  is the  
number of atoms in the matrix cubic cell,  $\chi_{rH}$  is the  
real Fourier polarization component,  $\lambda$  is the  
wavelength,  $J^\alpha(k_0)$  is the normalized coefficient of  
absorption due to diffuse scattering.

For precipitates that can have the shape of ellipsoids,  
plates or discs, we have used the relationship between  
the radius  $R_{d.cl}$  and thickness  $h_{d.cl}$  of disc-shaped  
precipitate [3,4]:

$$h_{d.cl} = a_1 R_{d.cl} (L / R_{d.cl})^{a_2}, \quad (7)$$

where  $a_1 = 3.96$  and  $a_2 = 0.5966$  [4]. The parameter of  
deformation at the interface between the precipitate and  
Si matrix was assumed to be corresponding to  $\text{SiO}_2$   
amorphous phase  $\varepsilon = 0.0242$  [3-5].

## 5. Analysis of investigation results

Calculations performed on the basis of relationships  
(1)-(6) made it possible to reach correspondence  
between experimental and theoretical diffraction curves  
(Fig. 4). It allowed evaluation of the sizes and  
concentrations inherent to dominant types of defects  
(Table 2).

In particular, a change in the shape of diffraction  
curve for the crystal №1a (Fig.1) after following  
irradiation with high-energy electrons is apparently  
caused by increase in concentrations of disc-shaped  
clusters and small-size dislocation loops with a  
simultaneous decrease in concentrations of fine  
spherical clusters (Table 2). As a rule, this is reflected  
accordingly in the essential increase of diffuse  
component in the integral intensity (Fig. 1).

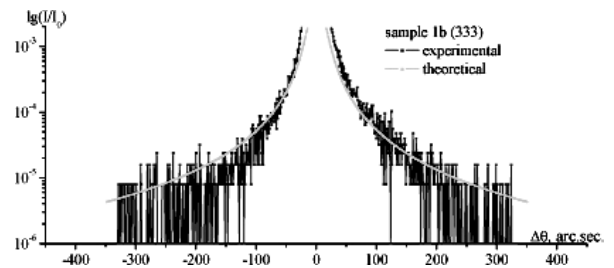
A slight difference in diffraction reflection curves in  
Fig.1 for crystals №1b and №1 (initial sample) can be  
explained by a decrease in the diffuse intensity  
component due to reduction in the concentration of

disc-shaped clusters and dislocation loops. Also, their  
size increase with the concentration of fine spherical  
clusters, which contribution is commensurate to that of  
disc-shaped clusters and dislocation loops. That is in  
agreement with the results [8].

**Table 2.** Concentrations and sizes of dominant types of  
microdefects obtained in the process of comparison between  
experimental and theoretical diffraction reflection curves

Sample	$c_{d.cl.},$ $10^6$ $\text{cm}^{-3}$	$R_{d.cl.},$ $\mu\text{m}$	$c_L,$ $10^6$ $\text{cm}^{-3}$	$R_L,$ $\mu\text{m}$	$c_{sf.cl.},$ $10^{13}$ $\text{cm}^{-3}$	$R_{sf.cl.},$ $\text{nm}$
1	15	3.56	2.5	8.95	19.2	6.2
1a	86	1.8	54.8	7.18	8.16	5.8
1b	14	3.56	9.8	7.2	4.35	7.9

The character of scattered intensity distribution in  
the experimental HR-RSMs (Fig. 2, 3) is indicative of  
the following. The microdefects with a positive power  
are presented by disc-shaped clusters and fine spherical  
clusters. The elongated profile of isodiffuse lines in  $q_x$ ,  
direction testifies to the presence of prolonged regions  
of nonuniform composition of oxygen solid solution in  
silicon with a smeared coherent boundary. In our case, it  
is interpreted by the Patel model of packing defects [14,  
16, 17].



**Fig. 4.** Experimental and design rocking curves for reflection  
(333) of silicon crystals under study.

For the crystal №1a (Fig. 3), isodiffuse lines are  
somewhat smeared and flattened, their curvature radius  
is increased parallel to  $q_x$  axis. This testifies to a  
predominant process of increasing the concentration  
inherent to disc-shaped clusters and small-size  
dislocation loops on the background of reduced  
concentrations of fine spherical clusters. Apparently, the  
energy absorbed in crystal irradiated by the dose of 1.8  
kGray is sufficient to cause respective transformations  
in its defective structure. However, this energy proved  
to be insufficient to form a stable defective structure  
relaxed after this high-energy “shock”.

A different situation arises for the crystal №1b. The  
elliptical shape of profile isodiffuse lines and their  
elongation in direction of positive  $q_x$  values can bear

witness to a reduced concentration of disc-shaped clusters and dislocation loops, and their size increases with the growing concentration of fine spherical clusters.

On the whole, the model of defective structure comprising several types of dominant microdefects yields a more detailed description of the shape and characteristics of diffraction reflection curves, the profiles of isodiffuse lines. This allowed obtaining a scenario of possible structural reconstructions in defective system of silicon crystals irradiated with high-energy electrons [4, 9-11].

## 6. Conclusions

In conformity with the selected model for description of the defective system of Cz-Si crystals by several types of dominant defects, the dynamics of changes in the concentrations and sizes of microdefects prior to and after their irradiation was studied:

- the observed change in the shape of diffraction reflection curves for crystals irradiated by the dose of 1.8 kGray (sample №1a) with high-energy electrons can be explained by increased concentrations of disc-shaped clusters and small-size dislocation loops on the background of reduced concentrations of fine spherical clusters;
- slight discrepancies in diffraction reflection curves for crystals irradiated by the largest specific dose 844 Gray/mm (sample №1b) and reference (№1) can be explained by the reduced diffuse intensity component due to reduced concentration of disc-shaped clusters and dislocation loops as well as their increased sizes with the growing concentration of fine spherical clusters whose contribution is commensurate to that of disc-shaped clusters and dislocation loops.

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