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Surface Plasmon's Dispersion Properties of Porous Gold Films

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Abstract

Nanostructure porous films with arrays of gold nanoparticles (Au NPs) have been produced by pulsed laser deposition. Dispersion properties of surface plasmons have been studied by the modulation-polarization spectroscopy technique. The dispersion relations for radiative modes and two types of non-radiative modes of localized and propagating surface plasmons were obtained. The branches of propagating modes were characterized by negative group velocity caused by spatial dispersion of dielectric function. The propagating modes are caused by dipole-dipole interactions between adjacent Au NPs. The frequencies and relaxation parameters of surface plasmon resonances and the plasma frequencies for Au NPs were obtained. The relation between the surface plasmon's properties and formation conditions of films with arrays of Au NPs is discussed.

Keywords: Gold nanoparticles, Porous films, Surface plasmons, Localized and propagating modes, Dispersion properties, Spatial dispersion, PLD, MPS

Background

The interaction between electromagnetic radiation and electrons in metal nanostructures is accompanied by surface plasmon resonance (SPR) manifesting itself. There are surface plasmon-polariton (SPP) resonances with the propagating wave on an infinite flat metal-dielectric surface and a localized surface plasmon (LSP) resonance on separated non-interacting metal nanoparticles and between metal nanoparticles due to electrodynamic/ dipole-dipole interactions [1-5]. Dispersion characteristics $\omega(k)$ of surface plasmons contain information about optical properties and nature of surface plasmon excitations and structure features of investigated films. It is known that one branch of the dispersion characteristics $\omega(k)$ determines the SPP resonance for homogeneous gold nanostructure. The dependencies of $\omega(k)$ split into several branches for nanocomposites and nanostructures with arrays of gold nanoparticles (Au NPs) due to dipole-dipole interactions between nanoparticles. Both the resonances and the dispersion characteristics of surface plasmons are characterized by considerable variety [6–19].

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A particular interest for investigation of dipole-dipole interactions between Au NPs is nanostructure porous films [20-25]. One of the most effective formation methods of film nanostructures of noble metals is pulsed laser deposition (PLD). Detailed analysis of the dispersion relations of surface plasmons has not been investigated in our earlier works [26–28], which studied the transmission spectra, structural properties, and surface morphology for porous metal films (por-Au(Ag)) by atomic force microscopy (AFM), scanning electron microscopy (SEM), and X-ray reflectometry (XRR) methods. At a recent time, an effective diagnostic method for the studying of plasmonic nanostructures is the modulation-polarization spectroscopy (MPS) technique. In the works [29-32], the SPR features have been studied by MPS for clustered Au films and nanocomposite films with Au NPs in various matrices.

The aim of this work is to study the dispersion properties of surface plasmons using the MPS technique for porous gold films with randomly distributed Au NP arrays obtained by PLD.

Methods

Sample Preparation

Porous gold films (por-Au) have been produced by the pulsed laser deposition method with a YAG:Nd³⁺ laser (a



© 2016 Stetsenko et al. **Open Access** This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (http://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. wavelength of 1.06 µm, laser fluence of 15 j/cm², pulse duration of 10 ns, repetition frequency of 25 Hz) in an argon atmosphere with a variable pressure P_{Ar} and pulse number *N*. For $N = 3 \cdot 10^3$ and $15 \cdot 10^3$, obtained samples 1 and 1' correspond to $P_{Ar} = 20$ Pa and samples 2 and 2' to $P_{Ar} = 70$ Pa, respectively (see Table 1). The deposition was performed from the direct flux of Au NPs of erosion torch on glass substrate, which is placed on the distance of 30 mm along the normal to the target plain.

Methods

Surface morphology of the deposited films was studied by atomic force microscopy using microscope Nanoscope IIIa (Digital Instruments) in a periodical contact regime with probes at a nominal tip radii of 10 nm.

In order to determine the density and the thickness of por-Au films, the X-ray reflectometry investigations were performed using a high-resolution Panalytical X'Pert PRO MRD system with $Cu_{K\alpha 1}$ radiation and a standard fourbounce Ge (220) monochromator. The incident X-ray beam was collimated with a 0.1-mm gap.

Transmission spectra of the films were measured using a spectrophotometer SF-26 within the wavelength range $\lambda = 0.36-1 \ \mu m$.

Angular and spectral polarization characteristics of por-Au films were measured in Kretschmann geometry by the modulation-polarization spectroscopy technique in the wavelength range $\lambda = 0.4-1 \mu m$. The setup scheme is described in detail in [29]. The MPS technique is based on the modulation of polarization of electromagnetic radiation, when the *s*- and *p*-polarizations are alternately transformed at a constant intensity, frequency, phase, and wave vector. The value of an experimental

Table 1 Various parameters for porous gold films

registered signal on a modulation frequency (f = 60 kHz) is the polarization difference $\rho(\lambda,\theta) = R_s^2 - R_p^2$, which is a magnitude of difference between the intensities of the internal reflection coefficients of s- and p-polarized radiation. According to the conventional terminology in polarimetry [33], the parameter ρ is a *Q*-component of the Stokes vector, which is a part of the reflected radiation in our case from the system of quartz half-cylinderpor-Au film—air. The parameter ρ is more informative for detection of small changes of signals with high sensitivity to morphology features of the nanostructures due to an simultaneous measuring of R_s^2 and R_p^2 coefficients under interaction between the radiation and sample. The refractive index of the quartz half-cylinder n = 1.456 determines the value of the critical angle of the total internal reflection as $\theta_{\rm cr} = 43.6^\circ$. When the reflection coefficients of s- and p-polarized radiation have equal amplitude values, i.e., $R_s^2(\theta) = R_p^2(\theta)$, and the magnitude of polarization difference $\rho(\theta)$ equals zero, the light reflection occurs regardless of polarization state at the angle of isotropic reflection $\theta \rho =$ 0 [32]. Spectral dependencies of the angle of isotropic reflection $\theta \rho = 0(\lambda)$ were measured to obtain values of the plasma frequency oscillations of the electrons in Au NPs.

Results and Discussion

AFM and XRR Studies

Figure 1a shows AFM images of samples 1 and 1' obtained at $P_{\rm Ar} = 20$ Pa for $N = 3 \cdot 10^3$ and $N = 15 \cdot 10^3$, respectively. The corresponding histograms of distribution of Au NP size and neighbor distance between Au NPs are presented in Fig. 1b, c respectively. These two samples of relatively broad size distributions and interparticle distances are characterized by an irregular

	Sample no.	1	2	1′		2′		
PLD	P _{Ar} , Pa	20	70	20		70		
	$N \cdot 10^3$	3	3	15		15		
AFM	D, nm	10.8 (4.9–22.7)	6.9 (3.6–12.2)	25.5 (3–56.4)		16.3 (3.2–31.7)		
	<i>R</i> , nm	40 (25.3–71.84)	9.7 (6–16.7)	19 (9.1–29.9)		11.9 (4.4–18.9)		
	Average neighbor distance, nm	40	9.7	19		11.9		
	9	0.3	0.23	1		1		
XRR	Density NP · 10 ³ /µm ²	3	5.5	1.7		4.3		
	Porosity, %			30.1		36.6		
	<i>d</i> , nm	_	_	10.6		6		
$T(\lambda)$	λ _{min} , nm	680	740	620		560		
$\theta_{ ho=0}(\lambda)$	$\lambda_{ m p}$, nm ($\omega_{ m p}\cdot$ 10 ¹⁵ , Hz)	552 (3.415)	590 (3.195)	508 (3.71)		515 (3.66)		
$\rho(\lambda) \ \theta = 45^{\circ} - 60^{\circ} \ (70)^{\circ}$	$\omega_{ m sp1} \cdot 10^{15}$, Hz	4.25–(4.20)	4.23–(4.32)	4.34-4.33	4.34–4.33		4.3-4.37	
	$\gamma_{sp1} \cdot 10^{15}, s^{-1}$	0.57–(0.63)	0.52–(1.11)	1–1.08		0.96–1.03		
	$\omega_{ m sp2,3}\cdot 10^{15}$, Hz	3.29–(3.18)	3.14–(2.76)	3.1-2.04	2.08-1.85	3.34-2.4	2.4–1.97	
	$\gamma_{sp2,3} \cdot 10^{15}$, s ⁻¹	1.35–(1.36)	1.62–(1.29)	1.4-1.14	1.04-0.36	1.08-0.98	1.27-0.4	



nanostructure with randomly distributed Au NPs of spherical and spheroid shapes. In principle, the samples 2 and 2' at P_{Ar} = 70 Pa have a similar morphology surface. A distinctive feature for samples 1' and 2' at $N = 15 \cdot 10^3$ is a high density of conjunction and aggregation of Au NPs. For samples 1 and 2 at $N = 3 \cdot 10^3$, with increasing argon pressure from P_{Ar} = 20 to 70 Pa, the Au NP heights (*h*) are decreasing from 5-6 to 4 nm; an average diameter (D)-from 10.8 to 6.9 nm; size particle dispersion-from 5-22 to 3.6-12 nm; interparticle distance-from 25-72 to 6–17 nm; coverage area of Au NPs (q)—from 30 to 23 % (see Table 1). The interparticle distances of Au NPs at $P_{\rm Ar} = 20$ Pa exceed their sizes more than 2.5 times and lessen at $P_{Ar} = 70$ Pa. Consequently, both samples 1 and 2 are characterized by an island 2D structure, on the one hand, with isolated non-interacting Au NPs at $P_{\rm Ar}$ = 20 Pa, and on the other hand, with dipole's field interactions between adjacent Au NPs at $P_{Ar} = 70$ Pa.

The X-ray reflectometry measurements were performed only for samples 1' and 2' at $N = 15 \cdot 10^3$ that was not done for samples 1 and 2 due to the island structure of these films. According to the XRR results, with increasing argon pressure, the density of samples 1' and 2' is decreased from 13.5 to 12.24 g/cm² in comparison with the density of bulk gold of 19.32 g/cm². Consequently, if porosity of the films increases from 30.1 to 36.6 %, the film thickness is reduced from 10.6 to 6 nm. Such dependencies of structural and optical properties of por-Au films on their formation conditions are defined by the formation processes at the PLD in the presence of argon in a torch, out of the torch, and on the substrate. This formation process depends on the basic deposition parameters such as argon pressure $P_{\rm Ar}$ and pulse number *N*.

As shown in [20, 25], the morphology features of porous films with Au NP arrays depend on formation conditions at both the PLD and the high-frequency sputtering methods. There are many small nanoparticles at low argon pressure P_{Ar} in a torch and a few large nanoparticles at high P_{Ar} . With increasing of P_{Ar} , a torch is compressed, the interaction between the Au atoms is increased in the torch, and also the distance between the torch edge and the substrate is increased. Outside the torch, the interaction between Au NPs and Ar atoms is weak at low P_{Ar} and at a high P_{Ar} both the mean free path and the kinetic energy are increased. The deposition of the film onto the substrate occurs from the flow of high-energy Au NPs of high density. The rate of NP formation is large, which leads to the growth in size of the NPs. On the other hand, at high P_{Ar} , the interaction between Au NPs and Ar atoms is strong. Both the mean free path and the kinetic energy. The deposition of the film occurs from the flow of low-energy Au NPs of low

density. Both the number and the average size of Au NPs on the substrate are decreased. A significant amount of larger low-energy Au NPs take part in the reverse transfer onto the substrate, which is placed on the distance along the normal to the target plain.

UV-Visible Spectroscopy

Figure 2 presents the transmission spectra $T(\lambda)$ for samples 1, 1', 2, and 2'. All curves of $T(\lambda)$ exhibit the typical extrema of localized surface plasmon resonances. The absorption bands of surface plasmons are broad with extrema positions within the range of 560–740 nm, which are shifted to long-wavelength range compared to the Frohlich dipole mode ($\lambda \approx 520$ nm) for small Au NPs. When N increases from $3 \cdot 10^3$ to $15 \cdot 10^3$, the transmission coefficient of radiation is monotonically decreased according to the order of samples 1, 2, 1', and 2'. For samples 1' and 2', typically with increasing $P_{\rm Ar}$ from 20 to 70 Pa, the wavelength value in the LSP resonance is decreased from 620 to 560 nm. As for samples 1 and 2 with similarly increasing $P_{\rm Ar}$ these values are increased from 680 to 740 nm.

MPS Study

Spectroscopy of Polarization Difference

Figure 3 shows the spectral characteristics of polarization difference $\rho(\lambda)$ at an incident angle of $\theta = 47^{\circ} > \theta_{cr}$. For all samples 1, 2, 1', and 2', the amplitudes of $\rho(\lambda)$ have negative values due to increasing of the absorption of *s*-polarized radiation, as compared with the *p*-polarized one, i.e., $R_s^2(\lambda) < R_p^2(\lambda)$. This is typical for island nanostructures and nanocomposite films with Au NP arrays. The bands of spectral characteristics of $\rho(\lambda)$ are broadened with extrema, which are explained by different types of the LSP resonance. On the one hand, extremum in a shortwavelength range is caused by resonant excitations of surface plasmons on isolated non-interacting Au NPs. On the





other hand, extremum in a long-wavelength range is caused by resonant excitations of surface plasmons between NPs due to electrodynamic/dipole-dipole interactions of adjacent NPs. As a result, the surface plasmon oscillations in a single Au NP induces the surface plasmon oscillations in adjacent Au NPs when the phase synchronism condition is satisfied and both frequency (ω) and wave vector (k) of light excitation match those of the surface plasmon's frequency and wave vector. Hence, the SPR manifests itself. Moreover, both spectra of transmission $T(\lambda)$ and polarization difference $\rho(\lambda)$ have a correlation in the extrema position and their redshift relative to each other.

To reveal the underlying features and clarify the extremum positions and intensities, all spectra of $\rho(\lambda)$ in a wide range of incident angles θ were converted to appropriate frequency dependencies $\rho(\omega)$ and then decomposed into elementary components that are described by Gaussian functions. As a result, the main resonance parameters of the samples as fundamental frequencies and relaxation times have been obtained and summarized in Table 1. With the help of the frequency data, the dispersion characteristics $\omega(k)$ of surface plasmons were obtained for samples 1, 2, 1', and 2', which are shown in Fig. 4. A distinctive feature of the dispersion relations is that the dispersion is much larger within the range of incident angles of $\theta > \theta_{cr}$ which corresponds to the surface plasmon's excitations of non-radiative modes as compared with radiative modes at $\theta < \theta_{cr}$. The dispersion branches of radiative modes (to the left of the light straight line) are explained by the surface plasmon's excitations on isolated non-interacting Au NPs. Note that with increasing of argon pressure P_{Ap} the frequency magnitude of radiative modes is decreased for samples 1 and 2 at $N = 3 \cdot 10^3$ and samples 1' and 2' at $N = 15 \cdot 10^3$. This dispersion feature of $\omega(k)$ for radiative modes is caused by a reduction in size of Au NPs.

Two groups of dispersion branches are shown for non-radiative modes (to the right of the light straight line) where the phase synchronism condition is necessary for the surface plasmon's excitations. High-frequency branches ω_1 are independent on the incident angle θ , which indicates localization of surface plasmons. Their frequency ranges are narrow $(4.2 - 4.37) \cdot 10^{15}$ Hz because of weak dependencies of $\omega(k)$ on the incedent angels θ for different por-Au films. In this case, the surface electromagnetic wave does not develop, and its propagation is similar to a standing wave. The low-frequency branches ω_2 and ω_3 correspond to the propagation of the surface plasmon's excitations between Au NPs due to dipole fields' interactions with adjacent NPs (the influence of neighboring Au NPs is dominant). These surface plasmon's excitations occur in broadened frequency ranges of $(1.85-3.34) \cdot 10^{15}$ Hz and strongly depend on the incident angles. One can see the correlation in the arrangement of low-frequency branches $\omega(k)$ with the corresponding order of the transmission spectra for samples 1, 2, 2', and 1'.

Different surface morphology features are exhibited in dispersion dependencies of surface plasmons, in particular for 2D and 3D nanostructures the surface features are demonstrated in the curves 1,2 and 2',1', respectively. On the one hand, the surface morphology of 2D nanostructures at $P_{Ar} = 20$ Pa is characterized by bigger size and interparticle distances of Au NPs and low coverage density of NPs due to the presence of isolated Au NPs. On the other hand, with increasing of P_{Ar} = 70 Pa, the interactions between Au NPs are added. Note that the surface morphology of 3D nanostructures is characterized by the presence of Au NP aggregates, high-packing density of Au NPs, variety of incident angles, and wide distributions of size, shape, and interparticle distance. Their low-frequency modes of surface plasmons are split into two branches with a wide range of relaxation times. In addition, an important feature of low-frequency branches of samples 2, 2', and 1' is a negative group velocity in the ratio of $d\omega(k)/dk$.

It is known from [34, 35] that negative group velocity can be realized for the media with spatial dispersion of the dielectric properties, which is caused by dependence of the dielectric tensor not only on the frequency but also on the wave vector $\varepsilon_{ij}(\omega, k)$. Consequently, the non-locality of the dielectric response to electromagnetic excitation takes place [36]. Similar negative dependencies of $\omega(k)$ were observed in works [4, 37] for films with chains of Au NP arrays. In our case, for samples 1' and 2' with 3D structures of por-Au films and close-packed arrays of plasmonic nanoparticles, the short-range order occurs due to strong electrodynamic interparticle interactions. Therefore, for por-Au films and the chains of Au NP arrays, the negative group velocity of surface plasmons can appear.

Spectroscopy of Angle of Isotropic Reflection

To understand the resonant-optical properties of por-Au films, the spectral characteristics of the angle of isotropic reflection $\theta \rho = O(\lambda)$ are shown in Fig. 5 in a radiative range of $\theta < \theta_{cr}$ for samples 1, 1', 2, and 2'. The isotropic reflection of radiation $(R_s^2 = R_p^2)$ occurs in the following cases: the first is a normal transmission/reflection of non-polarized radiation; the second is an attenuated internal reflection, when $R_s^2 < R_p^2$. Each of these coefficients does not necessarily need to be zero. The equality of their magnitude is important. The last case in the present work was realized by the MPS method. All curves of $\theta \rho = O(\lambda)$ exhibit resonance character with extrema, which are defined by the plasmons' oscillations that are correlated to the natural oscillations of the conduction electrons (plasma frequency $\omega_{\rm p}$) for Au NPs [32]. Determination of the plasma frequency of nanomaterials in different environments is an important issue for plasmonics. The bands of spectral characteristics of $\theta \rho = O(\lambda)$ are broadened with a decreasing interparticle





distance of Au NPs due to the increasing of interaction of adjacent Au NPs. The plasma oscillations of electrons in Au NPs are radiative modes. Hence, its position on dispersion branches of $\omega(k)$ lies also in the radiative region in Fig. 4. Obtained values of $\omega_{\rm p}$ are equal to 3.415, 3.195, 3.71, and 3.64 (1015) Hz for samples 1, 2, 1', and 2', respectively. Note that these ω_{p} values are less than the corresponding bulk material of gold of $1.37 \cdot 10^{16}$ Hz. Moreover, the values of $\omega_{\rm p}$ are reduced for both 2D and 3D nanostructures and also reduced relative to each other due to decreasing of Au NP size in por-Au films. It is known that plasma frequency depends on electron concentration in each separated metal film [1, 3]. Moreover, if the size of NPs is comparable to the electron mean free path (in the size range of 1-10 nm), then the electrons are able to spill out of the Au NPs. This quantum size effect can lead to changing of plasma frequency value $\omega_{\rm p}$ with the decreasing size of Au NPs due to the finite potential barrier at the surface assuming a sharp interface between a particle and the surrounding medium [38].

One can see that the extremum of $\theta \rho = O(\lambda)$ has a redshift with decreasing plasma frequency $\omega_{\rm p}$ for samples 1 and 2 with 2D nanostructures. Consequently, the resonant character of dependencies can be explained by a modified Mie theory based on timedependent local density approximation (TDLDA), i.e., taking into account the effect of an electron's spill out of the nanoparticles while decreasing their size. On the other hand, for 2D nanostructures, a wide plasmon's absorption bands were observed in the transmission spectra $T(\lambda)$. Full width at half maximum (FWHM) values for samples 1 and 2 are increased from 300 to 395 nm. A band width of absorption band Γ is determined by the relaxation time of the electron oscillations τ in the relation of $\gamma \sim \tau^{-1} \sim \frac{AV_F}{L_{\text{eff}}}$, where V_F is a Fermi velocity, $L_{\rm eff}$ is an effective electron mean free path, and A is a non-dimensional parameter, which is defined by features of electrons scattering on the nanoparticle surface. The relaxation time is reduced, and the plasmon's absorption band is broadened. Hence, broadening of $T(\lambda)$ bands with the decreasing of Au NP size correlates with an increasing of parameter A due to the surface elastic scattering of the electrons. The nature of resonant-optical properties of por-Au films with 3D nanostructures differs from those with 2D nanostructures. As has been shown for samples 1' and 2' with a 3D nanostructure, the blueshifts of $\theta \rho = O(\lambda)$ resonances with the decreasing of the average size of Au NPs from 25.5 to 16.3 nm were observed in contrast to those redshifts for samples 1 and 2 with 2D nanostructures. As shown in [39], similar shifting of plasmon resonances in both redand blue-wavelength directions can be caused by the changing of the Au NP shape from spherical to spheroidal. Moreover, with a decreasing of Au NP size, the plasmon's absorption band does not broaden but narrows from 225 to 85 nm. There is no correlation in changing the frequency extrema between LSP resonance and plasma frequency of the electrons for appropriate por-Au films. The nature of their resonant-optical properties is determined obviously by binding and hybridization of the dipole's fields of Au NPs in the closed-packed arrays [40].

Conclusions

Both 2D and 3D nanostructures with randomly distributed Au NP arrays of porous gold films have been performed by the PLD method from the direct high-energy flow of erosion-torch particles in an argon atmosphere by varying the argon pressure and pulse number. For the first time, the dispersion properties of surface plasmons for por-Au films have been studied by the MPS technique. Transmission spectra of the films were typical for localized surface plasmon resonances. Different types of LSP resonances were obtained with the help of the spectral characteristics of the polarization difference $\rho(\lambda)$ and the isotropic reflection angles $\theta \rho = O(\lambda)$. The first type is explained by the surface plasmon's excitation on isolated non-interacting Au NPs (dipole and multipole modes), and the second is between adjacent Au NPs caused by dipole-dipole interactions. The dispersion relations for radiative modes and two types of non-radiative modes of localized and propagating surface plasmons were demonstrated. The branches of propagating modes were characterized by negative group velocity caused by spatial dispersion of dielectric function. The frequencies and relaxation parameters of surface plasmon resonances and the plasma frequencies for Au NPs were obtained and discussed in correlation with the size, shape, and morphology structures of por-Au films. It was demonstrated that the plasma frequencies $\omega_{\rm p}$ are reduced for both 2D and 3D nanostructures and also reduced relative to each other due to the decreasing of Au NP size in por-Au films.

Competing Interests

The authors declare that they have no competing interests.

Authors' contributions

The idea of the study was conceived by BKS, SPR, and EBK. All authors analyzed and discussed the results. IMK and EBK fabricated the samples. MOS, LSM, SPR, and BKS organized the experiments and performed the MPS measurements. IMK and EBK carried out the UV-Vis measurements. AAK carried out the AFM measurements. SBK carried out the XRR measurements. All authors read and approved the final manuscript.

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